



09/12/03

JC963 U.S. PTO  
10/660744  
09/12/03**UTILITY PATENT  
APPLICATION TRANSMITTAL**(Only for new non-provisional applications  
under 37 CFR 1.53(b))

Attorney Docket No.

031117

Total Pages

First Named Inventor or Application Identifier

**Takashi NOZAKI and Kouji AMANO**

Check Box, if applicable [ ] Duplicate

Express Mail Label No.

**APPLICATION ELEMENTS FOR:****SETTLEMENT PROCESSING APPARATUS, SYSTEM,  
METHOD, AND PROGRAM THEREOF, AND  
RECORDING MEDIUM FOR RECORDING THE  
PROGRAM**

ADDRESS TO:

Commissioner for Patents  
MAIL STOP BOX PATENT APPLICATIONS  
P.O. Box 1450  
Alexandria, VA. 22313-14501. ☒ Fee Transmittal Form (Incorporated within this form)  
(Submit an original and a duplicate for fee processing)2. ☒ Specification Total Pages **[69]**3. ☒ Drawing(s) (35 USC 113) Total Sheets **[10]**4. ☒ Oath or Declaration Total Pages **[3]**a. ☒ Newly executed (original)b. ☐ Copy from prior application (37 CFR 1.63(d))

(for continuation/divisional with Box 17 completed).

i. ☐ Deletion of Inventor(s)Signed statement attached deleting inventor(s) named in prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b)5. ☐ Incorporation by reference (useable if box 4b is checked)The entire disclosure of the prior application, from which a copy of the oath or declaration is  
supplied under Box 4b, is considered as being part of the disclosure of the accompanying  
application and is hereby incorporated by reference therein.6. ☐ Microfiche Computer Program (Appendix)7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)a. ☐ Computer Readable Copyb. ☐ Paper Copy (identical to computer copy)c. ☐ Statement Verifying identity of above copies**ACCOMPANYING APPLICATION PARTS**8. ☒ Assignment Papers (cover sheet and document(s)) to: PIONEER CORPORATION, Tokyo, Japan and  
INCREMENT P CORPORATION, Tokyo, Japan.**Please publish the assignee data with the application.**9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)☒ Power of Attorney

**UTILITY PATENT  
APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No.

**031117**

First Named Inventor or Application Identifier

**Takashi NOZAKI and Kouji AMANO**

**PAGE 2 OF 3**

10. ☐ English translation Document (if applicable)
11. ☒ Information Disclosure Statement [1] Copies of IDS Citations w/PTO-1449 and 1 reference
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
14. ☐ Small Entity Status is claimed.
15. ☒ Claim for Convention Priority [1] Certified copy of Priority Document is enclosed  
a. Priority of Japanese application no. 2002-266525 filed on September 12, 2002 is claimed under 35 USC 119.
16. ☐ Other \_\_\_\_\_
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:  
☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior application no. \_\_\_\_\_

FEE TRANSMITTAL The filing fee is calculated below	Number Filed	Number Extra	Rate	Basic Fee
				<b>\$ 750.00</b>
Total Claims	47 - 20	27	x \$18.00	<b>\$ 486.00</b>
Independent Claims	10 - 3	7	x \$84.00	<b>\$ 588.00</b>
Multiple Dependent Claims			\$280.00	
Filing Fee				<b>\$1824.00</b>
Reduction by 1/2 for small entity				
Fee for recording enclosed Assignment			\$40.00	<b>\$ 40.00</b>
<b>TOTAL</b>				<b>\$1864.00</b>

**UTILITY PATENT  
APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No.

**031117**

First Named Inventor or Application Identifier

**Takashi NOZAKI and Kouji AMANO**

**PAGE 3 OF 3**

[XX] A check in the amount of \$1864.00 is enclosed to cover the filing fee of \$750.00, the fee of \$486.00 for extra claims, the fee of \$588.00 for extra independent claims and the assignment recordation fee of \$40.00.

[ ] Please charge our Deposit Account No. **01-2340** in the total amount of \_\_\_\_\_ to cover the filing fee and the \_\_\_\_\_ assignment recordation fee. A duplicate of this sheet is attached.

[XX] The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. **01-2340**.

**18. CORRESPONDENCE ADDRESS:**

Armstrong, Westerman & Hattori, LLP  
Suite 1000, 1725 K Street, N.W.  
Washington, D. C. 20006  
Tel: (202) 659-2930  
Fax: (202) 887-0357



**23850**

PATENT TRADEMARK OFFICE

Typed or Printed Name **Mel R. Quintos**

Reg. No. **31,898**

Signature *Mel R. Quintos*

Date: **September 12, 2003**

MRQ:jaz